

(Testing Notification)LDDS Pre-production Platform Test

Sessions Schedule and Rundown

Test Participator

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor (Mandatory)

Test Schedule

- Testing Date : 06/06/2020
- Testing Time: 09:00 - 12:30
- Platform: Level-2 Production Platform
- Testing Data: Level-2/Level-1 FAST Market data from LDDS production system on ALC and TKO Data Center.

Test Rundown

| Time | Test Case | Expect Information Vendor Result |
|-----------------|--|---------------------------------------|
| Before 09:00 | Send the initial reference pre-market data | Check can receive the initial data |
| 09:15-09:25 | Send the opening call auction quote | Check can receive and handle the data |

| | | |
|-------------|---|---------------------------------------|
| 09:30-10:30 | Send continues auction quote | Check can receive and handle the data |
| 10:30-10:35 | Market Break | |
| 10:35-11:27 | Send continues auction quote | Check can receive and handle the data |
| 11:27-11:30 | Send the closing auction quote | Check can receive and handle the data |
| 11:30-12:00 | Send after-market fixed price quote | Check can receive and handle the data |
| 12:00-12:30 | System restore. Make sure to fallback testing environment. Fill-in and return the feedback form to CIIS | N/A |

Test Data

This test will use date back (05/06/2020) production data as canned data.

Test Requirement

- Do not distribute testing data to end user
- All information vendors must prepare system backup in Production and Test environment before the test. After the test, please resume the production environment and prepare for the coming trading date (08/06/2020) as same as usual.

- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to “CIIS” in case any error or abnormal situation cause on this test.

Test Result

After the test, all client need to report their result base on market rehearsal test form. Please email this form to technical@ciis.com.hk.

Technical Contact:

(00852)2587 1600 (Jason)

Appendix I – 6 June. 2020 Test Feedback form

Date of submission: 6 June. 2020

| | | | |
|-----------------------------------|--|---|--|
| Name of vendor | | | |
| Test In-charge: | | Test In-charge Contact No.: | |
| | | Test In-charge Mobile No.: | |
| Test Result on your system | | | |
| Result 1 | Can receive the level-2 data feed during the test period | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail <input type="checkbox"/> Not |
| Result 2 | Can receive the static data during the test period | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail <input type="checkbox"/> Not |
| Result 3 | Whether the list data of re-list stock of risk alert board in static file is 6 th June? | <input type="checkbox"/> Yes applicable | <input type="checkbox"/> No <input type="checkbox"/> Not |
| Result 4 | Whether the trading suspension scenario (when the fluctuation reaches 30% or 60%) is triggered, and the suspension status and time-sharing trend can be displayed correctly. | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail <input type="checkbox"/> Not |
| Result 5 | System Restore (clear test data, prepare system for coming trading date) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail <input type="checkbox"/> Not |
| | | | |
| | | | |
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| Exception situation remarks: | | | |
| | | | |
| <u>Technical contact:</u> () | | | |