

## (Testing Notification)STAR 50 Index Test Sessions Rundown

### Test Participator

- SSE
- SSE Infonet Ltd
- CSI
- China Investment Information Services Ltd (CIIS)
- Information Vendor (Mandatory)

### Test Schedule

- Testing Date : From **23/06/2020**
- Testing Time: **09:00 - 15:30**
- Platform: **LDDS All-weather Platform**
- Testing Data: Level-2/Level-1 FAST Market data and static file from LDDS all-weather platform.

### Test Rundown

The internal process test starts on 23th June, 2020 (T day), and the transaction date for test is the same as the real transaction date.

Time	Test Case	Expect Result
From T (20200623)	Receive and handle cpxx static files.	Check can receive and handle below static files:

		<p>cpxxmdd.txt cpxx0102mmd d.txt cpxx0201mmd d.txt cpxx0202mmd d.txt</p>
From T+2 (20200629)	<p>1. From T+2(20200629), the transaction verification of each period covers A-share, B-share, STAR board, bond, fund and other products of the main board, and the transaction price must fluctuate 2.T+3(20200630),ticker 688202 transaction verification in each period, transaction price must fluctuate; 3.T+3(20200630),ticker 688023 transactions are verified in each period, and the transaction price must fluctuate.</p>	<p>Each period is: opening call auction, continuous auction, closing call auction, bond repo extension period</p>
From T (20200623)	<p>T Day(20200623) Mktdt00.txt receive processing validation, no index code related to STAR board in the expected market, no index value. And the existing index market release normal T+1(20200624) Mktdt00.txt receive processing validation, expected market only shows the relevant index code of STAR board, no index value. And the existing index market release normal FromT+2(20200629) Mktdt00.txt receive processing validation, expected market to show the relevant index code and index value of STAR board. And the existing index market release normal Index market verification (1) Before opening: The value of each field of index quotation in mktdt90.txt file meets the expectation; (2) Real time transaction stage: In the intraday order declaration and matchmaking of STAR board stocks, the value of each field of index market in mktdt00.txt file is calculated correctly, in which the transaction amount and transaction quantity of STAR 50 index and existing index are expected to</p>	

increase, and the calculation of the opening, latest, highest, lowest and current closing prices is correct.

(3) After closing:

In the mkttdt00.txt file, the value of each field of the index market meets the expectation, and the calculation of the opening price, the latest price, the highest price, the lowest price and the closing price is correct.

ETF IOPV value verification (main board)

1. Check the IOPV value of ETF in single market in mkttdt00.txt. It is expected that the IOPV value received yesterday and the real-time IOPV value are correctly calculated;

2. Check the IOPV value of other ETFs in mkttdt00.txt market file, and the market display is expected to be normal;

3. Order declaration shall be made for ETF components in single market in each period to make the latest price of components change, and it is expected that IOPV calculation in each trading period is correct.

T+3(20200630)

1. Transfer in related index sample of science and Technology Innovation Board

Transfer in 688202 related index samples of STAR board. 688202 trading orders are declared in each period, and the transaction price changes. It is expected that the number and amount of transactions of related indexes of science and Technology Innovation Board will increase. The calculation of the opening price, the latest price, the highest price, the lowest price and the current closing price is correct, and the current index market is released normally

2. Transfer out related index samples of STAR Board.

Call out the related index sample 688023 of STAR board. 688023 trading orders are declared in each period, and the transaction price changes. It is expected that the number of transactions and the transaction amount of related indexes of STAR Board will increase.

	There will be no change in the opening, the highest price, the lowest price, the latest price and the closing. And the current index market is released normally	
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## Test Data

### Index code

This test uses 000680 to simulate the relevant index code of STAR board.

The initial sample of the test index is the existing STAR board stock products in the all-weather test environment. The test index and its samples are only used during the test.

## Test Requirement

- Do not distribute testing data to end user
- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to “CIIS” in case any error or abnormal situation cause on this test.

## Test Result

After the test, all client need to report their result base on market rehearsal test form. Please email this form to [technical@ciis.com.hk](mailto:technical@ciis.com.hk).

## Technical Contact:

(00852)2587 1600 (Jason)

Appendix I – July . 2020 Test Feedback form

Date of submission: July. 2020

Name of vendor			
Test In-charge:		Test In-charge Contact No.:	
		Test In-charge Mobile No.:	
<b>Test Result on your system</b>			
<b>Result 1</b>	Can finish this test	<input type="checkbox"/> Success <input type="checkbox"/> Fail <input type="checkbox"/> No such business <input type="checkbox"/> Did not take part in this test	
<b>Result 2</b>	Can receive the cpXX files during the test	<input type="checkbox"/> Success <input type="checkbox"/> Fail <input type="checkbox"/> No such business <input type="checkbox"/> Did not take part in this test	
<b>Result 3</b>	Can receive the mktdt00.txt files during the test	<input type="checkbox"/> Success <input type="checkbox"/> Fail <input type="checkbox"/> No such business <input type="checkbox"/> Did not take part in this test	
<b>Result 4</b>	SRAT 50 Index market data verification	<input type="checkbox"/> Success <input type="checkbox"/> Fail <input type="checkbox"/> No such business <input type="checkbox"/> Did not take part in this test	
<b>Result 5</b>	Other Index market data verification	<input type="checkbox"/> Success <input type="checkbox"/> Fail <input type="checkbox"/> No such business <input type="checkbox"/> Did not take part in this test	
<b>Result 6</b>	IOPV verification(main board)	<input type="checkbox"/> Success <input type="checkbox"/> Fail <input type="checkbox"/> No such business <input type="checkbox"/> Did not take part in this test	
<b>Result 7</b>	688202 related index samples of STAR board verification	<input type="checkbox"/> Success <input type="checkbox"/> Fail <input type="checkbox"/> No such business <input type="checkbox"/> Did not take part in this test	
<b>Result 8</b>	688023 related index samples of STAR board verification	<input type="checkbox"/> Success <input type="checkbox"/> Fail <input type="checkbox"/> No such business <input type="checkbox"/> Did not take part in this test	
<b>Result 9</b>	Level-2 market data verification	<input type="checkbox"/> Success <input type="checkbox"/> Fail <input type="checkbox"/> No such business <input type="checkbox"/> Did not take part in this test	
Exception situation remarks:			
<u>Technical contact:</u> ( )			