

## (Testing Notification) LDDS Test for STAR Board's ETF

### Sessions Schedule and Rundown

#### Test Participants

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor

#### Test Schedule

- Testing Date: 26/09/2020
- Testing Time: 13:00 – 16:00
- Platform: Level-1 Test Platform
- Testing Data: Level-1 FAST data (mktdt00.txt).

#### Test Rundown

| Time         | Test Content                                   | Key Test Area for Information Vendors       |
|--------------|--|---|
| Before 13:00 | Sending the initial reference pre-market data. | Check if the data can be received normally. |
| 13:15-13:25  | Sending the opening call auction quote.        | Check if the data can be received normally. |
| 13:30-14:10  | Sending the continuous auction quote.          | Check if the data can be received normally. |
| 14:10-14:15  | Market Break                                   | N/A   |

|             |   |   |
|-------------|---|---|
| 14:15-14:57 | Sending the continuous auction quote.   | Check if the data can be received normally. |
| 14:57-15:00 | Sending the closing auction quote.  | Check if the data can be received normally. |
| 15:00-15:30 | Sending the after-market fixed price quote.   | Check if the data can be received normally. |
| 15:30-16:00 | System restores. Make sure to fallback testing environment. Fill-in and return the feedback form to CIIS. | N/A   |

## Test Data

This test will use the finalized data from 25/09/2020 as the start data.

## Testing Product Details

| Company Name            | 华泰柏瑞<br>(Huatai-<br>PineBridge<br>Fund) | 易方达<br>(EFunds)      | 华夏<br>(Chinaamc)     | 工银瑞信<br>(ICBC Credit<br>Suisse) |
|-------------------------|---|----------------------|----------------------|---------------------------------|
| Product Name            | 科 ETF1                                  | 科 ETF2               | 科 ETF3               | 科 ETF4                          |
| Product Code            | 588490                                  | 588480               | 588470               | 588460                          |
| Subscription Code       | 科申 1                                    | 科申 2                 | 科申 3                 | 科申 4                            |
| Redemption Code         | 科赎 1                                    | 科赎 2                 | 科赎 3                 | 科赎 4                            |
| Fund Code               | 588492                                  | 588482               | 588472               | 588462                          |
| Listing Date            | 26 <sup>th</sup> Sep                    | 26 <sup>th</sup> Sep | 26 <sup>th</sup> Sep | 26 <sup>th</sup> Sep            |
| Opening Reference Price | 1.00 RMB                                | 1.00 RMB             | 1.00 RMB             | 1.00 RMB                        |
| Creation Unit           | 2M Shares                               | 2M Shares            | 2M Shares            | 2M Shares                       |

|                         |        |        |        |        |
|-------------------------|--------|--------|--------|--------|
| Component<br>Stock List | 688001 | 688001 | 688001 | 688001 |
|                         | 688002 | 688003 | 688003 | 688003 |
|                         | 688003 | 688005 | 688005 | 688005 |
|                         | 688005 | 688006 | 688006 | 688006 |
|                         | 688006 | 688011 | 688011 | 688007 |
|                         | 688007 | 688020 | 688020 | 688008 |
|                         | 688008 | 688026 | 688026 | 688009 |
|                         | 688009 | 688027 | 688027 | 688010 |

## Test Requirements

- Do not distribute the testing data to end users.
- All information vendors must prepare system backup in Production and Test environment before the test. After the test, please resume the production environment and prepare for the coming trading date (28/09/2020) as usual.
- During the test, clients should write down their comments or technical issues for analysis & follow up. Clients should report to “CIIS” if encounter any errors or abnormal situation during the test.

## Test Results

After the test, clients need to complete the test feedback form attached in the Appendix and send it to [technical@ciis.com.hk](mailto:technical@ciis.com.hk).

## Technical Contact:

(00852)2868 3431 (Franky)

Appendix I – 26th Sep. 2020 Test Feedback form

Date of submission: 26 September, 2020

|   |  |                                  |   |
|---|--|----------------------------------|---|
| Name of vendor                                  |  |                                  |   |
| Test In-charge:                                 |  | Test In-charge Contact No.:      |   |
|   |  | Test In-charge Mobile No.:       |   |
| <b>Test result on your system (Please tick)</b> |  |                                  |   |
| <b>Result 1</b>                                 | Receiving the static files   | <input type="checkbox"/> Success | <input type="checkbox"/> Fail <input type="checkbox"/> Not applicable |
| <b>Result 2</b>                                 | Receiving the market data of SSE STAR Market's ETF products  | <input type="checkbox"/> Success | <input type="checkbox"/> Fail <input type="checkbox"/> Not applicable |
| <b>Result 3</b>                                 | Displaying the market data of SSE STAR Market's ETF products when they are capped at daily up/down limit (20%) | <input type="checkbox"/> Success | <input type="checkbox"/> Fail <input type="checkbox"/> Not applicable |
| <b>Result 4</b>                                 | Receiving other market data  | <input type="checkbox"/> Success | <input type="checkbox"/> Fail <input type="checkbox"/> Not applicable |
| <b>Result 5</b>                                 | System Recover (clear test data, prepare system for coming trading date)                                       | <input type="checkbox"/> Success | <input type="checkbox"/> Fail <input type="checkbox"/> Not applicable |
| Exception situation remarks:                    |  |                                  |   |
|   |  |                                  |   |
| <u>Technical contact:</u> ( )                   |  |                                  |   |