

(Testing Notification)LDDS Test Sessions Schedule and Rundown

Test Participator

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor

Test Schedule

- Testing Date : 12/12/2020
- Testing Time: 09:00 - 12:30
- Platform: Testing Platform (201.1.3.25)
- Testing Data: Level-2 Market data together with Level-1 FAST from LDDS testing platform.

Test checkpoint

- Static files receive and handle
- Data related to the directional convertible bond is obtained normally (disclosed in static files of cpxx and zfjy).
- SSE level-2 market data receive and handle.
- System recover.

Test Rundown

Time	Test Case	Expect Vendor Result Information
Before 09:00	Send the initial reference pre-market data	Check can receive the initial data
09:15-09:25	Send the opening call auction quote	Check can receive and handle the data
09:30-10:30	Send continues auction quote	Check can receive and handle the data
10:30-10:35	Market Break	
10:35-11:27	Send continues auction quote	Check can receive and handle the data
11:27-11:30	Send the closing auction quote	Check can receive and handle the data
11:30-12:00	Send after-market fixed price quote	Check can receive and handle the data
12:00-12:30	System restore. Make sure to fallback testing environment. Fill-in and return the feedback form to CIIS	N/A

Test Data

This test will use date back (11/12/2020) production data as canned data.

Testing of new targeted convertible bond products for transfer (intent, transaction), conversion and resale commission declaration, details as follows (relevant data for testing purposes only).

1、 Targeted convertible bond transfer information

Bond Code	110800	110802	110807
Bond Brief	赛腾定转	继峰定02	动力定01
Listing date	12th Dec.	12th Dec.	12th Dec.
Listing Price	100 Yuan	100 Yuan	100 Yuan

2、 Directional Convertible Bond Conversion Information

Bond Code	110800	110802	110807
Stock Code	603283	603997	600482
Start Date	12th Dec.	12th Dec.	12th Dec.
Price	15Yuan	7Yuan	10Yuan
Source	Increases in Issuance	Increases in Issuance	Inventory First
Repurchase Accounts	B882871529	B882365594	B881659649

3、 Directional Convertible Bond Resale Information

Bond Code	110800	110802	110807
Start Date	12th Dec.	12th Dec.	12th Dec.
End Date	12th Dec.	12th Dec.	12th Dec.
Broker	CITIC Securities	CITIC Securities	CITIC Securities
Repurchase Accounts	D890000381	D890000381	D890000381
Price	105Yuan	95Yuan	110Yuan

4、 Trading Halt Information for Directional Convertible Bonds

Bond Code	110807
Bond Brief	动力定01

Suspension Date	12th Dec.
Suspension Time	09:15
Resumption Date	12th Dec.
Resumption Time	10:35

Test Requirement

- Do not distribute testing data to end user
- All information vendors must prepare system backup in Production and Test environment before the test.
- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to “CIIS” in case any error or abnormal situation cause on this test.

Test Result

After the test, all client need to report their result base on market rehearsal test form. Please email this form to technical@ciis.com.hk.

Technical Contact:

(00852) 2868 3431 (Franky)

Appendix I – 12th Dec. 2020 Test Feedback form

Date of submission: 12th Dec. 2020

Name of vendor				
Test In-charge:		Test In-charge Contact No.:		
		Test In-charge Mobile No.:		
Test Result on your system				
Result 1	Can receive and handle the static file	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail	<input type="checkbox"/> Not
Result 2	Can receive and handle Level-2 market data	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail	<input type="checkbox"/> Not
Result 3	Data related to the directional convertible bond is obtained normally (disclosed in static files of cpxx and zfjy).	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail	<input type="checkbox"/> Not
Result 4	System Restore (clear test data, prepare system for coming trading date)	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail	<input type="checkbox"/> Not
Exception situation remarks:				
Technical contact: ()				