

(Testing Notification)LDDS Test Sessions Schedule and Rundown

Test Participator

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor

Test Schedule

- Testing Date: 19/12/2020
- Testing Time: 09:00 - 12:30
- Platform: Production Platform (ALC&TKO)
- Testing Data: Level-2 Market data together with Level-1 FAST, Comprehensive Level-1 market data (mkttdt01.txt) and Fixed Income data from LDDS testing platform.

Test checkpoint

- Static files receive and handle
- Data related to the directional convertible bond is obtained normally (disclosed in static files of cpxx and zfjy). The directional convertible bond market type in the CPXX series documents is ASHR, the securities category is D, and the securities sub-category is TCB; the directional

convertible bond conversion business type in the ZFJY documents is CBT.

- SSE level-2 market data receive and handle.
- The fixed income data is received and processed normally (if authorized);
- System restores.

Test Rundown

Time	Test Case	Expect Information Vendor Result
Before 09:00	Send the initial reference pre-market data	Check can receive the initial data
09:15-09:25	Send the opening call auction quote	Check can receive and handle the data
09:30-10:30	Send continues auction quote	Check can receive and handle the data
10:30-10:35	Market Break	
10:35-11:27	Send continues auction quote	Check can receive and handle the data
11:27-11:30	Send the closing auction quote	Check can receive and handle the data

11:30-12:00	Send after-market fixed price quote	Check can receive and handle the data
12:00-12:30	System restores. Make sure to fallback testing environment. Fill-in and return the feedback form to CIIS	N/A

Test Data

This test will use date back (18/12/2020) production data as canned data.

Testing of new targeted convertible bond products for transfer (intent, transaction), conversion and resale commission declaration, details as follows (relevant data for testing purposes only).

Test Requirement

- Do not distribute testing data to end user
- All information vendors must prepare system backup in Production and Test environment before the test.
- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to “CIIS” in case any error or abnormal situation cause on this test.

Test Result

After the test, all client need to report their result base on market rehearsal test form. Please email this form to technical@ciis.com.hk.

Technical Contact:

(00852) 2868 3431 (Franky)

Appendix I – 19th Dec. 2020 Test Feedback form

Date of submission: 19th Dec. 2020

Name of vendor				
Test In-charge:		Test In-charge Contact No.:		
		Test In-charge Mobile No.:		
Test Result on your system				
Result 1	Can receive and handle the static file	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail	<input type="checkbox"/> Not
Result 2	Can receive and handle Level-2 market data	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail	<input type="checkbox"/> Not
Result 3	Data related to the directional convertible bond is obtained normally (disclosed in static files of cpxx and zfyj).	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail	<input type="checkbox"/> Not
Result 4	System Restore (clear test data, prepare system for coming trading date)	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail	<input type="checkbox"/> Not
Result 5	Fixed-income data is received and processed normally (if authorized);	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail	<input type="checkbox"/> Not
Exception situation remarks:				
Technical contact: ()				