

(Testing Notification) LDDS STAR Board Test Sessions Schedule and Rundown

Test Participator

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor

Test Schedule

- Testing Date: 09/01/2021
- Testing Time: 09:00 - 12:30
- Platform: Testing Platform (202.82.148.193)

Test checkpoint

Send Level-1 market data through the LDDS system.

- Static files receive and handle
- Cross-Shanghai, Shenzhen and Hong Kong ETF products market data receive and handle
- Non-trading information of Cross-Shanghai, Shenzhen and Hong Kong ETF products (fjyYYYYMMDD.txt) receive and handle
- START Board market data can display properly
- System restores

Test Rundown

| Time | Test Case | Expect Information Vendor Result |
|-----------------|--|---------------------------------------|
| Before 09:00 | Send the initial reference pre-market data | Check can receive the initial data |
| 09:15-09:25 | Send the opening call auction quote | Check can receive and handle the data |
| 09:30-10:30 | Send continues auction quote | Check can receive and handle the data |
| 10:30-10:35 | Market Break | |
| 10:35-11:27 | Send continues auction quote | Check can receive and handle the data |
| 11:27-11:30 | Send the closing auction quote | Check can receive and handle the data |
| 11:30-12:00 | Send after-market fixed price quote | Check can receive and handle the data |
| 12:00-12:30 | System restores. Make sure to fallback testing environment. Fill-in and return the feedback form to CIIS | N/A |

Test Data

This test will use date back (08/01/2020) production data as canned data.

The test data of newly added Cross-Shanghai, Shenzhen and Hong Kong

ETF products will be as followed:

| | | | | | |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|
| Definition file | fm314 | fm315 | fm316 | fm317 | fm318 |
| Transaction code | 517300 | 517080 | 517170 | 517000 | 517100 |
| Fund company | 国寿安保 | 汇添富 | 华夏 | 银华 | 富国 |
| Fund abbreviation | AH300ETF | HGS500 | 沪港深 E | 沪港深 | AH500ETF |
| Extension abbreviation | 沪港深 300ETF | 沪港深 500ETF 基金 | 沪港深 ETF | 沪港深 500ETF | 500ETF 沪港深 |
| Subscription and redemption code | 517301 | 517081 | 517171 | 517001 | 517101 |
| Subscription and redemption abbreviation | AH300ETF | HGS500 | 沪港深 E | 沪港深 | AH500ETF |
| Shanghai Fund Code | 517302 | 517082 | 517172 | 517002 | 517102 |
| Shenzhen Fund Code | 517305 | 517085 | 517175 | 517005 | 517105 |
| H-share fund code | 517306 | 517086 | 517176 | 517006 | 517106 |
| Listing date | January 9 | January 9 | January 9 | January 9 | January 9 |
| Opening reference price | 1 yuan | 1 yuan | 1 yuan | 1 yuan | 1 yuan |
| Minimum subscription and redemption unit | 300,000 shares | 300,000 shares | 300,000 shares | 300,000 shares | 300,000 shares |

| | | | | | |
|------------------------------|-----|-----|-----|-----|-----|
| Number of constituent stocks | 100 | 100 | 100 | 100 | 100 |
|------------------------------|-----|-----|-----|-----|-----|

** Please be noted that the non-trading information document (fjyYYYYMMDD.txt) issued on the day of the test will contain the subscription code of the above-mentioned Cross-Shanghai, Hong Kong and Shenzhen ETF products and the code of each fund.

Test Requirement

- Do not distribute testing data to end user
- All information vendors must prepare system backup in Production and Test environment before the test.
- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to “CIIS” in case any error or abnormal situation cause on this test.

Test Result

After the test, all client needs to report their result base on market rehearsal test form. Please email this form to technical@ciis.com.hk.

Technical Contact:

(00852) 2868 3431 (Franky)

Appendix I – 9th Jan. 2021 Test Feedback form

Date of submission: 9th Jan. 2021

| | | | | |
|--|---|---|-------------------------------|------------------------------|
| Name of vendor | | | | |
| Test In-charge: | | Test In-charge Contact No.: | | |
| | | Test In-charge Mobile No.: | | |
| Test Result on your system | | | | |
| Result 1 | Can receive and handle the static file | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 2 | Can receive and handle Cross-Shanghai, Shenzhen and Hong Kong ETF products market data | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 3 | Can receive and handle non-trading information of Cross-Shanghai, Shenzhen and Hong Kong ETF products (fjyYYYYMMDD.txt) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 4 | Can receive and handle START Board market data | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 5 | System restores (clear test data, prepare system for coming trading date) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Exception situation remarks: | | | | |
| Technical contact: () | | | | |