

(Testing Notification) LDDS Test Sessions Schedule and Rundown

Test Participator

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor

Test Schedule

- Testing Date: 22/05/2021
- Testing Time: 09:00 – 12:30
- Platform: Production Platform (ALC & TKO)

Test checkpoint

- Static files receive and handle
- Bond non-trading basic information data zfjyyyyymmdd.xml receive and handle (including convertible bond, exchangeable bond, bond put option, bond warehousing related businesses)
- Fund non-trading basic information document jfjyyyyymmdd.xml receive and handle (including bond ETF application and redemption related businesses)
- System restores

Test Rundown

Time	Test Case	Expect Information Vendor Result
Before 09:00	Send the initial reference pre-market data	Check can receive the initial data
09:15-09:25	Send the opening call auction quote	Check can receive and handle the data
09:30-10:30	Send continues auction quote	Check can receive and handle the data
10:30-10:35	Market Break	
10:35-11:27	Send continues auction quote	Check can receive and handle the data
11:27-11:30	Send the closing auction quote	Check can receive and handle the data
11:30-12:00	Send pledged REPO extended trading quote	Check can receive and handle the data
12:00-12:30	System restores. Make sure to fallback testing environment. Fill-in and return the feedback form to CIIS	N/A

Test Data

This test will use date back (21/05/2021) production data as canned data.

Bond non-trading product message:

1、 Integrated Service Platform Convertible Bond

竞价平台迁移至综业产品 (Migrate from Auction Platform to ISP)	
债券代码 (Bond symbol)	113016
可转债简称 (Abbreviation)	小康转债
转股平台 (Convert platform)	综业平台
转股价格 (Convert price)	15.7

2、 Fixed Income Platform Convertible Bond

(Not included in this test)

3、 Integrated Service Platform Exchangeable Bond

竞价平台迁移至综业产品 (Migrate from Auction Platform to ISP)	
债券代码 (Bond symbol)	132022
债券简称 (Bond abbreviation)	20 广版 EB
换股平台 (Exchange platform)	综业平台
债券类型 (Type of bond)	可交换债
股票代码 (Stock symbol)	601900
换股价格 (Exchange price)	11.26

4、 Fixed Income Platform Exchangeable Bond

竞价平台迁移至综业产品 (Migrate from Auction Platform to ISP)	
债券代码 (Bond symbol)	137116
债券简称 (Bond abbreviation)	20 三一 EB

换股平台 (Exchange platform)	固收平台
债券类型 (Type of bond)	可交换债
股票代码 (Stock symbol)	600031
换股价格 (Exchange Price)	28

5、 Bond put option messages

(Not included in this test)

6、 Deposit and withdrawal messages

竞价平台迁移至综业产品 (Migrate from Auction Platform to ISP)	
产品代码 (Product symbol)	188082
产品简称 (Product abbreviation)	21 葛洲 01
测试平台 (Test platform)	综业平台
产品类型 (Product category)	公司债

7、 ETF application and redemption messages

ETF 代码 (ETF symbol)	ETF 简称 (ETF Abbreviation)	基金管理人 (Managing party)	备注 (Comment)
511010	国债 ETF	国泰基金管理有限公司	
511020	活跃国债	平安基金管理有限公司	
511030	公司债	平安基金管理有限公司	
511050	兴业地债	兴业基金管理有限公司	
511060	5 年地债	海富通基金管理有限公司	
511180	上证转债	海富通基金管理有限公司	
511220	城投 ETF	海富通基金管理有限公司	
511260	十年国债	国泰基金管理有限公司	

511270	10 年地債	海富通基金管理有限公司	
511280	中期信用	华夏基金管理有限公司	
511310	十年債	富国基金管理有限公司	債券現金 ETF 申贖
511360	短融 ETF	海富通基金管理有限公司	債券現金 ETF 申贖
511380	轉債 ETF	博時基金管理有限公司	債券現金 ETF 申贖

Test Requirement

- Do not distribute testing data to end user
- All information vendors must prepare system backup in Production and Test environment before the test.
- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to “CIIS” in case any error or abnormal situation cause on this test.

Test Result

After the test, all client needs to report their result base on market rehearsal test form. Please email this form to technical@ciis.com.hk.

Technical Contact:

(00852) 2868 3431 (Franky)

Appendix I – 22th May. 2021 Test Feedback form

Date of submission: 22th May. 2021

Name of vendor			
Test In-charge:		Test In-charge Contact No.:	
		Test In-charge Mobile No.:	
Test Result on your system			
Result 1	Can receive zfyjyyyymmdd.xml and jfyjyyyymmdd.xml	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail <input type="checkbox"/> Not
Result 2	Can receive Level-2 data	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail <input type="checkbox"/> Not
Result 3	System restores	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail <input type="checkbox"/> Not
Exception situation remarks:			
Technical contact: ()			