

## (Testing Notification) LDDS Test Sessions Schedule and Rundown

### Test Participator

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor

### Test Schedule

- Testing Date: 05/06/2021
- Testing Time: 09:00 – 12:30
- Platform: Production Platform (ALC & TKO)

### Test checkpoint

1. Bond non-trading basic information data zfjyYYMMDD.xml receive and handle (including convertible bond, exchangeable bond, bond put option, bond warehousing related businesses)
2. Fund non-trading basic information document jfjyYYMMDD.xml receive and handle (including bond ETF application and redemption related businesses)
3. Information related to businesses mentioned in 1,2 are delisted in fjyYYYYMMDD.txt
4. Level-2 market data receive and handle

5. Static files receive and handle ( without cpxxMMDD.txt and cpxx0102MMDD )

6. System restores

## Test Rundown

Time	Test Case	Expect Information Vendor Result
Before 09:00	Send the initial reference pre-market data	Check can receive the initial data
09:15-09:25	Send the opening call auction quote	Check can receive and handle the data
09:30-10:30	Send continues auction quote	Check can receive and handle the data
10:30-10:35	Market Break	
10:35-11:27	Send continues auction quote	Check can receive and handle the data
11:27-11:30	Send the closing auction quote	Check can receive and handle the data
11:30-12:00	Send pledged REPO extended trading quote	Check can receive and handle the data
12:00-12:30	System restores. Make sure to fallback testing environment. Fill-in and return the feedback form to CIIS	N/A

## Test Data

This test will use date back (04/06/2021) production data as canned data.

## Test Requirement

- Do not distribute testing data to end user
- All information vendors must prepare system backup in Production and Test environment before the test.
- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to “CIIS” in case any error or abnormal situation cause on this test.

## Test Result

After the test, all client needs to report their result base on market rehearsal test form. Please email this form to [technical@ciis.com.hk](mailto:technical@ciis.com.hk).

## Technical Contact:

(00852) 2868 3431 (Franky)

Appendix I – 5th June. 2021 Test Feedback form

Date of submission: 5th June. 2021

Name of vendor			
Test In-charge:		Test In-charge Contact No.:	
		Test In-charge Mobile No.:	
<b>Test Result on your system</b>			
<b>Result 1</b>	Normal receive and handle of zfjyyyyymmdd.xml, jfjyyyyymmdd.xml and fjyYYYYMMDD.txt	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail <input type="checkbox"/> Not
<b>Result 2</b>	Information related to businesses mentioned in test checkpoint 1,2 are delisted in fjyYYYYMMDD.txt	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail <input type="checkbox"/> Not
<b>Result 3</b>	Can receive Level-2 market data	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail <input type="checkbox"/> Not
<b>Result 4</b>	System restores	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail <input type="checkbox"/> Not
Exception situation remarks:			
<b>Technical contact:</b> ( )			