

## (Testing Notification) LDDS Test Sessions Schedule and Rundown

### Test Participator

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor

### Test Schedule

- Testing Date: 17/07/2021
- Testing Time: 09:00 – 12:30
- Platform: Production Platform (ALC & TKO)

### Test checkpoint

- Static files receive and handle (without cpXXMMDD.txt and cpXX0102MMDD.txt)
- Level-2 market data
- Fixed Income Platform data (If authorized)
- System restores

## Test Rundown

| Time            | Test Case  | Expect Information<br>Vendor Result   |
|-----------------|--|---------------------------------------|
| Before<br>09:00 | Send the initial reference pre-market data   | Check can receive the initial data    |
| 09:15-09:25     | Send the opening call auction quote  | Check can receive and handle the data |
| 09:30-10:30     | Send continues auction quote   | Check can receive and handle the data |
| 10:30-10:35     | Market Break   |                                       |
| 10:35-11:27     | Send continues auction quote   | Check can receive and handle the data |
| 11:27-11:30     | Send the closing auction quote   | Check can receive and handle the data |
| 11:30-12:00     | Send after-market fixed price quote  | Check can receive and handle the data |
| 12:00-12:30     | System restores. Make sure to fallback testing environment. Fill-in and return the feedback form to CIIS | N/A                                   |

## Test Data

This test will use date back (16/07/2021) production data as canned data.

## Test Requirement

- Do not distribute testing data to end user
- All information vendors must prepare system backup in Production and Test environment before the test.
- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to “CIIS” in case any error or abnormal situation cause on this test.

## Test Result

After the test, all client needs to report their result base on market rehearsal test form. Please email this form to [technical@ciis.com.hk](mailto:technical@ciis.com.hk).

## Technical Contact:

(00852) 2868 3431 (Franky)

Appendix I – 17th Jul. 2021 Test Feedback form

Date of submission: 17th Jul. 2021

|                                   |  |   |                               |                              |
|-----------------------------------|--|---|-------------------------------|------------------------------|
| Name of vendor                    |  |   |                               |                              |
| Test In-charge:                   |  | Test In-charge Contact No.:                 |                               |                              |
|                                   |  | Test In-charge Mobile No.:                  |                               |                              |
| <b>Test Result on your system</b> |  |   |                               |                              |
| <b>Result 1</b>                   | Can receive and handle the static file (without cpxxMMDD.txt and cpxx0102MMDD.txt) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| <b>Result 2</b>                   | Can receive Level-2 market data  | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| <b>Result 3</b>                   | Can receive Fixed Income Platform data (If authorized)                             | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| <b>Result 4</b>                   | System restores  | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
|                                   |  |   |                               |                              |
|                                   |  |   |                               |                              |
| Exception situation remarks:      |  |   |                               |                              |
| <u>Technical contact:</u> ( )     |  |   |                               |                              |