

(Testing Notification) LDDS Test Sessions Schedule and Rundown

Test Participator

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor

Test Schedule

- Testing Date: 11/12/2021
- Testing Time: 09:00 – 12:30
- Platform: Production Platform (ALC & TKO)

Test checkpoint

- Static files receive and handle
- Level-1 and 2 new bond market data receive and handle
- Level-1 and 2 market data (Including Stocks, Funds, REITs etc.) receive and handle
- Level-1 Binary Alternative Trading Platform (ATP) (Only the clients who plan to develop the Binary Alternative Trading Platform) receive and handle
- System restores

Test Rundown

| Time | Matching Trading Platform (MTP) | Time | New Bond System |
|-------------|-------------------------------------|-------------|--|
| 9:15-9:25 | Send the opening call auction quote | 9:15-9:25 | Send the opening call auction quote |
| 9:30-10:30 | Send continues auction quote | 9:30-10:30 | Send continues auction quote |
| 10:30-10:35 | Market Break | 10:30-10:35 | Market Break |
| 10:35-11:27 | Send continues auction quote | 10:35-11:30 | Send continues auction quote |
| 11:27-11:30 | Send the closing auction quote | 11:30-12:00 | Send pledged REPO extended trading quote |
| 12:30-12:30 | System restores. | 12:00-12:30 | System restores. |

Test Data

This test will use date back (10/12/2021) production data as canned data.

Test Requirement

- Do not distribute testing data to end user
- All information vendors must prepare system backup in Production and

Test environment before the test.

- During the test, clients should write down their comments or technical issues for analysis & follow up. Client should report to “CIIS” in case any error or abnormal situation cause on this test.

Test Result

After the test, all client needs to report their result base on market rehearsal test form. Please email this form to technical@ciis.com.hk.

Technical Contact:

(00852) 2587 1600 (Jason)

Appendix I – 11th Dec. 2021 Test Feedback form

Date of submission: 11th Dec. 2021

| | | | |
|-----------------------------------|--|---|--|
| Name of vendor | | | |
| Test In-charge: | | Test In-charge Contact No.: | |
| | | Test In-charge Mobile No.: | |
| Test Result on your system | | | |
| Result 1 | Can receive and handle the static file | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail <input type="checkbox"/> Not |
| Result 2 | Can receive Level-1 and 2 market data | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail <input type="checkbox"/> Not |
| Result 3 | Can receive Level-1 and 2 new bond market data | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail <input type="checkbox"/> Not |
| Result 4 | Can receive Level-1 Binary Alternative Trading Platform (ATP) (Only the clients who plan to develop the Binary Alternative Trading Platform) market data | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail <input type="checkbox"/> Not |
| Result 5 | System restores | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail <input type="checkbox"/> Not |
| Exception situation remarks: | | | |
| Technical contact: () | | | |