

(Testing Notification) LDDS Test Sessions Schedule and Rundown

Test Participator

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor

Test Schedule

- Testing Date: 18/12/2021
- Testing Time: 09:00 – 16:30
- Platform: Production Platform (ALC & TKO)

Test checkpoint

- Static files receive and handle
- Level-1 and 2 new bond market data receive and handle
- Level-1 and 2 market data (Including Stocks, Funds, REITs etc.) receive and handle
- Switching of data source when one new bond Level-2 market source is abnormal
- System restores

Test Rundown

| Time | Test Case | Expect Vendor Result Information |
|--------------|---|---------------------------------------|
| Before 09:00 | Send the initial reference pre-market data | Check can receive the initial data |
| 09:15-09:25 | Send the opening call auction quote | Check can receive and handle the data |
| 09:30-11:30 | Send continues auction quote | Check can receive and handle the data |
| 11:30-13:00 | Market Break | |
| 13:00-14:57 | Send continues auction quote | Check can receive and handle the data |
| 14:57-15:00 | Send the closing auction quote | Check can receive and handle the data |
| 15:00-15:30 | Send pledged REPO extended trading quote | Check can receive and handle the data |
| 15:05-15:30 | Send STAR Board after-market fixed price quote | Check can receive and handle the data |
| 15:30-16:00 | System restores. Make sure to fallback testing environment. Fill-in and | N/A |

| | | |
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| | return the feedback form to CIIS | |
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Test Data

This test will use date back (17/12/2021) production data as canned data.

Test Requirement

- Do not distribute testing data to end user
- All information vendors must prepare system backup in Production and Test environment before the test.
- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to “CIIS” in case any error or abnormal situation cause on this test.

Test Result

After the test, all client needs to report their result base on market rehearsal test form. Please email this form to technical@ciis.com.hk.

Technical Contact:

(00852) 2868 3431 (Franky)

Appendix I – 18th Dec. 2021 Test Feedback form

Date of submission: 18th Dec. 2021

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|-----------------------------------|---|---|-------------------------------|------------------------------|
| Name of vendor | | | | |
| Test In-charge: | | Test In-charge Contact No.: | | |
| | | Test In-charge Mobile No.: | | |
| Test Result on your system | | | | |
| Result 1 | Can receive and handle the static file | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 2 | Can receive Level-2 New Bond System market data | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 3 | Can receive Level-1 New Bond System market data | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 4 | Can receive Level-2 market data (Including Stocks, Funds, REITs etc.) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 5 | Can receive Level-1 market data (Including Stocks, Funds, REITs etc.) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 6 | Can receive Level-1 Binary Format Data (Select Not Applicable if you do not wish to develop Binary Format Data) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 7 | System Restores | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Exception situation remarks: | | | | |
| <u>Technical contact:</u> () | | | | |