

(Testing Notification) LDDS Test Sessions Schedule and Rundown

Test Participator

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor

Test Schedule

- **Testing Date: 3/9/2022**
- **Testing Time: 09:00 – 12:00**
- **Platform: Production Platform (ALC & TKO)**

Test checkpoint

- Static files receive and handle (cpxx, fjy, jfjy, etc)
- Level-1 and 2 market data receive and handle (Level-2 market data please focus on the update of snapshot sending mechanism)
- After option basic information file (reff03MMDD.txt) offline, the option basic information second edition file (reff0302YYYYMMDD.xml) can receive and handle (if authorized)
- FISP and Option market data receive and handle (if authorized)
- System restores

Test Rundown

| Time | Matching Trading Platform (MTP) | Time | New Bond System |
|-------------|-------------------------------------|-------------|--|
| 9:15-9:25 | Send the opening call auction quote | 9:15-9:25 | Send the opening call auction quote |
| 9:30-10:30 | Send continuous auction quote | 9:30-10:30 | Send continuous auction quote |
| 10:35-11:27 | Send continuous auction quote | 10:35-11:30 | Send continuous auction quote |
| 11:27-11:30 | Send the closing auction quote | 11:30-12:00 | Send pledged REPO extended trading quote |
| 11:30-12:00 | MTP market related processing | 12:00-15:00 | Market Closing |
| 12:00-15:00 | Market Closing | | |

| Time | Alternative Trading Platform | Time | Fixed-income Securities Platform |
|-----------|-------------------------------------|------------|----------------------------------|
| 9:15-9:25 | Send the opening call auction quote | 9:00-11:30 | Send continuous auction quote |

| | | | |
|-------------|----------------------------------|-------------|----------------|
| 9:30-10:30 | Send continuous auction quote | 11:30-15:00 | Market Closing |
| 10:35-11:30 | Send continuous auction quote | | |
| 11:30-12:00 | Block Trading | | |
| 12:00-15:00 | Market Closing | | |

| Time | Derivatives Trading Platform |
|---------------|-------------------------------------|
| 9:15-9:25 | Send the opening call auction quote |
| 9:30-10:30 | Send continuous auction quote |
| 10:35 – 11:27 | Send continuous auction quote |
| 11:27 – 11:30 | Send the closing auction quote |
| 12:00 – 15:00 | ATP market related processing |

Test Data

This test will use date back (2/9/2022) production data as canned data.

Test Requirement

- Do not distribute testing data to end user
- All information vendors must prepare system backup in Production and Test environment before the test.
- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to “CIIS” in case any error or abnormal situation cause on this test.

Test Result

After the test, all client needs to report their result base on market rehearsal test form. Please email this form to technical@ciis.com.hk.

Technical Contact:

(00852) 2501 0060 (Sunny)

Appendix I – 3rd Sep. 2022 Test Feedback form

Date of submission: 3rd Sep. 2022

| | | | |
|-----------------------------------|---|---|--|
| Name of vendor | | | |
| Test In-charge: | | Test In-charge Contact No.: | |
| | | Test In-charge Mobile No.: | |
| Test Result on your system | | | |
| Result 1 | Can receive and handle static files (cpxx, fjy, jfjy, etc) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail <input type="checkbox"/> Not |
| Result 2 | Can receive and handle Level-1 and 2 market data (Level-2 market data please focus on the update of snapshot sending mechanism) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail <input type="checkbox"/> Not |
| Result 3 | Can receive and handle the option basic information second edition file (reff0302YYYYMMDD.xml) after option basic information file (reff03MMDD.txt) offline (if authorized) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail <input type="checkbox"/> Not |
| Result 4 | FISP and Option market data receive and handle (if authorized) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail <input type="checkbox"/> Not |
| Result 5 | System Restores | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail <input type="checkbox"/> Not |
| | | | |
| | | | |
| Exception situation remarks: | | | |
| | | | |
| Technical contact: () | | | |