

## (Testing Notification) LDDS Test Sessions Schedule and Rundown

### Test Participator

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor

### Test Schedule

- Testing Date: 12/11/2022
- Testing Time: 09:00 – 12:30
- Platform: Production Platform (ALC & TKO)

### Test checkpoint

- Static files receive and handle (cpxx, fjy, jfjy, etc)
- In the cpxx0201 and cpxx0202 documents, verify the newly added securities codes are 799999 and 799998, the securities subclass is CMD's 2 records
- In the fjyYYYYMMDD documents, verify there is no non-trading securities codes as 799999 and 799998, non-trading business type is DT, DC s record
- Level-1 and 2 market data receive and handle
- FISP and Option market data receive and handle (if authorized)

- System restores

### Test Rundown

Time	Matching Trading Platform (MTP)	Time	Alternative Trading Platform
9:15-9:25	Send the opening call auction quote	9:15-9:25	Send the opening call auction quote
9:30-10:30	Send continuous auction quote	9:30-10:30	Send continuous auction quote
10:35-11:57	Send continuous auction quote	10:35-12:00	Send continuous auction quote
11:57-12:00	Send the closing auction quote	12:00-12:30	Block Trading
12:00-12:30	MTP market related processing	12:30-14:00	Market Closing
12:30-15:00	Market Closing		

Time	New Bond System	Time	Fixed-income Securities Platform

9:15-9:25	Send the opening call auction quote	9:00-11:30	Send continuous auction quote (The trading hours of convertible bonds are 10:30-11:30)
9:30-10:30	Send continuous auction quote	11:30-14:00	Market Closing
10:35-12:00	Send continuous auction quote		
12:00-12:30	Send pledged REPO extended trading quote		
12:30-14:00	Market Closing		

Time	Derivatives Trading Platform		
9:15-9:25	Send the opening call auction quote		
9:30-10:30	Send continuous auction quote		

10:35-11:57	Send continuous auction quote		
11:57-12:00	Send the closing auction quote		
12:00-12:30	DTP marketing related processing		
12:30-14:00	Market Closing		

## Test Data

This test will use date back (11/11/2022) production data as canned data

## Test Requirement

- Do not distribute testing data to end user
- All information vendors must prepare system backup in Production and Test environment before the test.
- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to “CIIS” in case any error or abnormal situation cause on this test.

## Test Result

After the test, all client needs to report their result base on market rehearsal test form. Please email this form to [technical@ciis.com.hk](mailto:technical@ciis.com.hk).

## Technical Contact:

(00852) 2501 0060 (Sunny)

Appendix I – 12<sup>th</sup> NOV. 2022 Test Feedback form

Date of submission: 12<sup>th</sup> NOV. 2022

Name of vendor				
Test In-charge:		Test In-charge Contact No.:		
		Test In-charge Mobile No.:		
Test Result on your system				
<b>Result 1</b>	Can receive and handle static files (cpxx, fjy, jfjy, etc)	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail	<input type="checkbox"/> Not
<b>Result 2</b>	Can receive and handle Level-1 and Level-2 market data	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail	<input type="checkbox"/> Not
<b>Result 3</b>	In cpxx0201 and cpxx0202 documents, verify the newly added securities codes are 799999 and 799998, the securities subclass is CMD's 2 records	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail	<input type="checkbox"/> Not
<b>Result 4</b>	In fjyYYYYMMDD documents, verify there is no non-trading securities codes as 799999 and 799998, non-trading business type is DT, DC s record	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail	<input type="checkbox"/> Not
<b>Result 5</b>	FISP and Option market data receive and handle (if authorized)	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail	<input type="checkbox"/> Not
<b>Result 6</b>	System Restores	<input type="checkbox"/> Success applicable	<input type="checkbox"/> Fail	<input type="checkbox"/> Not
Exception situation remarks:				
Technical contact ( )				