

(Testing Notification) LDDS Test Sessions Schedule and Rundown

Test Participator

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor

Test Schedule

- Testing Date: 11/2/2023
- Testing Time: 09:00 – 12:00
- Platform: Wan Chai Testing Platform (202.82.148.193)

Test Checkpoint

- Static files receive and handle (cpxx, f jy, etc)
- Extended bond code (230000, 230001) market data receive and handle;
- Level-1 and 2 market data receive and handle
- FISP market data receive and handle (if authorized)
- System restores

Test Rundown

| Time | Matching Trading Platform (MTP) | Time | Alternative Trading Platform |
|-------------|-------------------------------------|-------------|-------------------------------------|
| 9:15-9:25 | Send the opening call auction quote | 9:15-9:25 | Send the opening call auction quote |
| 9:30-10:30 | Send continuous auction quote | 9:30-10:30 | Send continuous auction quote |
| 10:35-11:27 | Send continuous auction quote | 10:35-11:30 | Send continuous auction quote |
| 11:27-11:30 | Send the closing auction quote | 11:30-12:00 | Block Trading |
| 11:30-12:00 | MTP market related processing | 12:00-14:00 | Market Closing |
| 12:00-14:00 | Market Closing | | |

| Time | New Bond System | Time | Fixed-income Securities Platform |
|-----------|-------------------------------------|------------|----------------------------------|
| 9:15-9:25 | Send the opening call auction quote | 9:00-11:30 | Send continuous auction quote |

| | | | |
|-------------|--|-------------|---|
| 9:30-10:30 | Send continuous auction quote | 11:30-14:00 | Send continuous auction quote and convertible bond designated counterparty quotation (Declaration of bond block transaction) |
| 10:35-11:30 | Send continuous auction quote | 11:30-14:00 | Market Closing |
| 11:30-12:00 | Send pledged REPO extended trading quote | | |
| 12:00-14:00 | Market Closing | | |

Test Data

This test will use date back (10/2/2023) production data as canned data.

Extended bond code: 230000, 230001

Test Requirement

- Do not distribute testing data to end user
- All information vendors must prepare system backup in Production and Test environment before the test.
- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to “CIIS” in case any error or abnormal situation cause on this test.

Test Result

After the test, all client needs to report their result base on market rehearsal test form. Please email this form to technical@ciis.com.hk.

Technical Contact:

(00852) 2501 0060 (Sunny)

Appendix I – 11th February. 2023 Test Feedback form

Date of submission: 11th February. 2023

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|-----------------------------------|--|---|-------------------------------|------------------------------|
| Name of vendor | | | | |
| Test In-charge: | | Test In-charge Contact No.: | | |
| | | Test In-charge Mobile No.: | | |
| Test Result on your system | | | | |
| Result 1 | Static files receive and handle (cpxx, f jy, etc) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 2 | Extended bond code (230000, 230001) market data receive and handle | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 3 | Level-1 and 2 market data receive and handle | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 4 | FISP market data receive and handle (if authorized) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 5 | System restores | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| | | | | |
| | | | | |
| Exception situation remarks: | | | | |
| | | | | |
| Technical contact: () | | | | |