

(Testing Notification) LDDS Test Sessions Schedule and

Rundown

Test Participator

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor

Test Schedule

- Testing Date: 8/4/2023
- Testing Time: 09:00 12:00
- Platform: Wan Chai Testing Platform (202.82.148.193)

Test Content

- 1) Relevant changes in Product Information File cpxx0201 and cpxx0202 and Non-trading Basic information interface file fiy, including Main board stock (Including 5 main board stocks listed on the first day of listing under the registration-based system and stock approval stocks) price limit, Identification of securities names on the first day of listing and logo;
- 2) Registration-based stocks real time market data receive and handle;
- 3) Registration-based stock terminal display

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The test content is as follows:

Test Checkpoint	Test Details
	cpxx document verification
	1. Cpxx0201 and cpxx0202 files receive and handle normally, the values of
	the 4 th , 8 th , 9 th and 11 th digits of the "Product Status Flag" field and
	the "Price Limit Type" field are in line with expectations
	(including the main board registration system and STAR Market
(1) Static file	logo);
receives and	2. fjy document verification (There is no purchase business on
handle	that day)
	Non-trading Basic information interface file
	(fjyYYYMMDD.txt) can receive and handle (if used). The
	"Non-trading order size" and "non-trading order minimum order
	quantity" of the main board registration shares stock issuance are
	changed to 500.
(2) Market data	1. Registered shares Level-1 market data verification;
receive	
verification	
vermeation	
	1. Verification of price fluctuation limit:
	1) For stocks listed in the initial public offering, there is no
	price limit for the first 5 trading days after listing (5
(3) Business related	subscription products);
verification	2) The rise and fall of the main board stock price on the risk
vermeation	warning board is limited to 5% of the previous closing
	price;
	3) Under other circumstances, the price rise and fall of the
	main board stock is limited to 10% of the previous closing

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	/ICES LID.
	price;
	2. Suspension and resumption verification
	1) If the intraday trading price of a stock without price
	fluctuation limit rises or falls by 30% or more than the
	opening price of the day for the first time, a temporary
	intraday trading suspension will be implemented;
	2) If the intraday trading price of a stock without price
	fluctuation limit rises or falls by 60% or more than the
	opening price of the day for the first time, a temporary
	intraday trading suspension will be implemented;
	3) The duration of a single intraday temporary suspension is
	10 minutes;
	4) If the suspension time exceeds 11:27 (simulate production
	time 14:57), trading will resume at 11:27 (simulate
	production time 14:57) on the same day;
	(1) Information business market terminal registration system stock
(4) Terminal display	display verification:
verification	Including but not limited to the market display of registered
(Display	stocks, the field of rise and fall, identification of securities names
authorized users)	on the first day of listing and logo, are in line with expectations.

Test Checkpoint

- Static files receive and handle (Focus on the changes related to the registration system in the cpxx and fjy files)
- The verification of the rise and fall of registration-based stocks and the suspension of trading related scenarios;
- Registration-based stock Level-1 market data receive and handle

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- Registration-based stock terminal display
- Level-1 market data receive and handle
- Option market data can receive and handle (if authorized)
- System restores

Test Rundown

Time	Matching Trading	Time	Alternative
	Platform (MTP)		Trading Platform
9:15-9:25	Send the opening	9:15-9:25	Send the opening
9.13-9.23	call auction quote	9.13-9.23	call auction quote
9:30-10:30	Send continuous	9:30-10:30	Send continuous
9:30-10:30	auction quote	9:50-10:50	auction quote
10:35-11:27	Send continuous	10:35-11:30	Send continuous
10:33-11:27	auction quote	10:55-11:50	auction quote
11:27-11:30	Send the closing	11:30-12:00	Block trading
11:27-11:50	auction quote	11:50-12:00	
11:30-12:00	MTP market related	12:00-14:00	Market Closing
11.30-12.00	processing	12.00-14.00	
12:00-14:00	Market Closing		
Time	New Bond	Time	Derivatives
	Platform		Trading Platform

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9:15-9:25	Send the opening	9:15-9:25	Send the opening
	call auction quote		call auction quote
9:30-10:30	Send continuous	9:30-10:30	Send continuous
	auction quote	2000 1000	auction quote
10:35-11:30	Send continuous	10:35-11:27	Send continuous
	auction quote	10.55 11.27	auction quote
11:30-12:00	Send pledged		Send the closing
	REPO extended	11:27-11:30	auction quote
	trading quote		
12:00-14:00	Market Closing	11:30-12:00	DTP marketing
		11.30-12.00	related processing
		12:00-14:00	Market Closing

Test Data

This test will use date back (7/4/2023) production data as canned data.

1. 5 subscription products on the first day of listing (Please refer the cpxx file for details):

证券代码	603135	603125	601061	601133	601065
证券简称	中重科技	常青科技	中信金属	柏诚股份	江盐集团



Test Requirement

- Do not distribute testing data to end user
- All information vendors must prepare system backup in Production and Test environment before the test.
- During the test, client should write down their comments or technical 0 issues for analysis & follow up. Client should report to "CIIS" in case any error or abnormal situation cause on this test.

Test Result

After the test, all client needs to report their result base on market rehearsal

test form. Please email this form to technical@ciis.com.hk.

Technical Contact:

(00852) 2501 0060 (Sunny)



Appendix I – 8st April. 2023 Test Feedback form

Date of submission: 8st April. 2023

Name of ven	ıdor					
Test In-char	· · · · ·		Test In-charge (Contact No.:		
Test III-citat	ge.		Test In-charge	Mobile No.:		
Test Result on yo	our system					
Full Implementat	tion of the S	tock Issuance Registi	ration clearance te	st		
Including 5 main	n board stoc	ks listed on the first	day of listing und	ler the registra	ation-based	system and
stock approval st	ocks on feed	lback				
	Can receiv	ve and handle static	file (cpxx0201,			
	cpxx0202)	and the values of the	e 4th, 8th, 9th,	□Success	⊓Fail	□Not
Result 1	11th digits	of the "Product Status	s Flag" field and	applicable		
	the "Price	Limit Type" field a	are in line with	applicable		
	expectation	18;				
Result 2	Registered	shares Level-1 mark	tet data receive	□Success	□Fail	□Not
Result 2	and handle	;		applicable		
	Verificatio	n of price fluctuation l	imit:			
	1) For sto	ocks listed in the initial	public offering,			
	there is no	price limit for the first	st 5 trading days			
	after listing	;;				
Result 3	2) The r	ise and fall of the m	ain board stock	□Success	□Fail	□Not
Result 5	price on th	e risk warning board	is limited to 5%	applicable		
	of the prev	ious closing price;				
	3) Under	other circumstances, t	he price rise and			
	fall of the	main board stock is lin	mited to 10% of			
	the previou	is closing price;				

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Suspension and resumption verification: 1) If the intraday trading price of a stock without price fluctuation limit rises or falls by 30% or more than the opening price of the day for the first time, a temporary intraday trading suspension will be implemented; Image: Suspension intervent of the day for the first time, a temporary intraday trading suspension will be implemented; Image: Suspension is 0 falls by 60% or more than the opening price of the day for the first time, a temporary intraday trading suspension will be implemented; Image: Suspension is 0 falls by 60% or more time that the opening price of the day for the first time, a temporary intraday trading suspension will be implemented; Image: Suspension is 0 falls by 60% or more time that the opening price of the day for the first time, a temporary intraday trading suspension will be implemented; Image: Suspension is 10 minutes; Image: Suspension is 10 minutes; Image: Suspension is 10 minutes; Image: Suspension time exceeds 11:27 (simulate production time 14:57) or the same day; Image: Suspension is 10 minutes; Image: Suspension is 10 minutes;
same day; Information business market terminal registration system stock display verification: Success Fail Not applicable 1) securities name ("N" for first day) Paile Success Fail Not applicable 2) Registered share logo (Not mandatory) Success Fail Not applicable Other test content Result 6 Static files can receive and handle Success Fail Not applicable Result 7 Option market data can receive and handle (if authorized) Success Fail Not applicable
Other test content Result 6 Static files can receive and handle Presult 7 Option market data can receive and handle (if authorized)
Result 6 Static files can receive and handle □Success applicable □Fail □Not applicable Result 7 Option market data can receive and handle (if authorized) □Success applicable □Fail □Not applicable
Result 7 Option market data can receive and handle (if authorized) Success applicable Fail Not
Exception situation remarks:

Technical Contact: () :

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