(Testing Notification) LDDS Test Sessions Schedule and Rundown

Test Participator

- SSE Infonet Ltd

- China Investment Information Services Ltd (CIIS)

- Information Vendor

Test Schedule

- Testing Date: 24/6/2023

- Testing Time: 08:30 – 12:00

- Platform: Production Platform (ALC & TKO)

Test Content

1. Scenario 1: VDE market authentication and rebuild primary source abnormal

   Time: whole day

   Phenomenon: cannot access market authentication and rebuild primary source

   Expected Situation: VDE accesses normally, market authentication and rebuild source can switch to secondary source automatically

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2. Scenario 2: Pre-market static file (cpxx0202) is missing

Time: 8:45

Phenomenon: cpxx0202 is missing and cpxx0201 receives normally

Expected situation: can normally initialize through the cpxx0201 file

Test Checkpoint

- VDE authentication access normal

- When the cpxx0202 file is missing, the cpxx0201 file can be received and processed normally

- Level-2 and Level-1 market data can receive and handle

- FISP and Option market data receive and handle (if authorized)

- System restores

Test Rundown

Time	Matching Trading Platform (MTP)	Time	Alternative Trading Platform
8:30-9:15	Pre-market processing	8:30-9:15	Pre-market processing
9:15-9:25	Send the opening call auction quote	9:15-9:25	Send the opening call auction quote
9:30-10:30	Send continuous auction quote	9:30-10:30	Send continuous auction quote
10:35-11:27	Send continuous auction quote	10:35-11:30	Send continuous auction quote
11:27-11:30	Send the closing auction quote	11:30-12:00	Block trading
11:30-12:00	MTP market related processing	12:00-14:00	Market Closing
12:00-14:00	Market Closing		
Time	New Bond Platform	Time	Fixed-income Securities Platform
8:30-9:15	Pre-market processing	9:00-11:30	Continuous auction

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Time			
			(The convertible bond quotation trading hours are 10:30-11:30)
9:15-9:25	Send the opening call auction quote	11:30-14:00	Market Closing
9:30-10:30	Send continuous auction quote		
10:35-11:30	Send continuous auction quote		
11:30-12:00	Send pledged REPO extended trading quote		
12:00-14:00	Market Closing		
Time	**Derivatives Trading Platform**		
8:30-9:15	Pre-market processing		
9:15-9:25	Send the opening call auction quote		

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9:30-10:30	Send continuous auction quote		
10:35-11:27	Send continuous auction quote		
11:27-11:30	Send the closing auction quote		
11:30-12:00	DTP marketing related processing		
12:00-14:00	Market Closing		

Test Data

This test will use date back (21/6/2023) production data as canned data.

Test Requirement

- Do not distribute testing data to end user

- All information vendors must prepare system backup in Production and Test environment before the test.

- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to "CIIS" in case any error or abnormal situation cause on this test.

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Test Result

After the test, all client needs to report their result base on market rehearsal

test form. Please email this form to technical@ciis.com.hk。

Technical Contact:

(00852) 2868 3431 (Wilson)

Appendix I – 24th June. 2023 Test Feedback form

Date of submission: 24th June. 2023

Name of vendor			
Test In-charge:		Test In-charge Contact No.: Test In-charge Mobile No.:	

Test Result on your system

1. **Scenario 1: market authentication and rebuild primary source abnormal**

Result 1	VDE authentication access normal	□Success applicable	□Fail	□Not

2. Scenario 2: Part of Pre-market static file(cpxx0202) is missing

Result 2	When the cpxx0202 file is missing, can normally initialize through the cpxx0201 file	□Success applicable	□Fail	□Not

3. Other Testing Content

Result 3	Level-2 and Level-1 market data can receive and handle	□Success applicable	□Fail	□Not
Result 4	FISP and Option market data receive and handle (if authorized)	□Success applicable	□Fail	□Not
Result 5	System restores	□Success applicable	□Fail	□Not

Exception situation remarks:

Technical Contact:（）: