

(Testing Notification) LDDS Test Sessions Schedule and Rundown

Test Participator

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor

Test Schedule

- Testing Date: 12/8/2023
- Testing Time: 09:00 – 14:00
- Platform: Production Platform (ALC & TKO)

Test Checkpoint

- Static files receive and handle (cpxx documents)
- Level-2 and Level-1 market data can receive and handle
- FISP and Option market data receive and handle (if authorized)
- System restores

Test Rundown

| Time | Matching Trading Platform (MTP) | Time | Alternative Trading Platform |
|-------------|--|-------------|--|
| 9:15-9:25 | Send the opening call auction quote | 9:15-9:25 | Send the opening call auction quote |
| 9:30-10:30 | Send continuous auction quote | 9:30-10:30 | Send continuous auction quote |
| 10:35-13:27 | Send continuous auction quote | 10:35-13:30 | Send continuous auction quote |
| 13:27-13:30 | Send the closing auction quote | 13:30-14:00 | The Science and Technology Innovation Board After market transaction |
| 13:30-14:00 | After-Market transaction | 14:00-15:00 | Market Closing |
| 14:00-15:00 | Market Closing | | |
| Time | New Bond Platform | Time | Derivatives Trading Platform |
| 9:15-9:25 | Send the opening call auction quote | 9:15-9:25 | Send the opening call auction quote |

| | | | |
|-------------|--|-------------|--------------------------------|
| 9:30-10:30 | Send continuous auction quote | 9:30-10:30 | Send continuous auction quote |
| 10:35-13:30 | Send continuous auction quote | 10:35-13:27 | Send continuous auction quote |
| 13:30-14:00 | Send pledged REPO extended trading quote | 13:27-13:30 | Send the closing auction quote |
| 14:00-15:00 | Market Closing | 13:30-14:00 | Exercise period |
| | | 14:00-15:00 | Market Closing |
| Time | Fixed-income Securities Platform | | |
| 9:00-11:30 | Continuous auction (The convertible bond quotation trading hours are 10:30-11:30) | | |
| 11:30-14:00 | Market Closing | | |

Test Data

This test will use date back (11/8/2023) production data as canned data.

Test Requirement

- Do not distribute testing data to end user
- All information vendors must prepare system backup in Production and Test environment before the test.
- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to “CIIS” in case any error or abnormal situation cause on this test.

Test Result

After the test, all client needs to report their result base on market rehearsal test form. Please email this form to technical@ciis.com.hk.

Technical Contact:

(852) 2501 0060 (Sunny)

Appendix I – 12th August. 2023 Test Feedback form

Date of submission: 12th August. 2023

| | | | | |
|-----------------------------------|--|---|-------------------------------|------------------------------|
| Name of vendor | | | | |
| Test In-charge: | | Test In-charge Contact No.: | | |
| | | Test In-charge Mobile No.: | | |
| Test Result on your system | | | | |
| 1. Other Testing Content | | | | |
| Result 1 | Static file can receive and handle (cpxx documents) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 2 | Level-2 and Level-1 market data can receive and handle | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 3 | FISP and Option market data receive and handle (if authorized) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 4 | System restores | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Exception situation remarks: | | | | |
| | | | | |
| Technical Contact: () : | | | | |