(Testing Notification) LDDS Test Sessions Schedule and Rundown

Test Participator

- SSE Infonet Ltd

- China Investment Information Services Ltd (CIIS)

- Information Vendor

Test Schedule

- Testing Date: 24/2/2024

- Testing Time: 09:00 – 16:00

- Platform: Production Platform (ALC & TKO)

Test Content

1. Market data initialization abnormal drill

- Scenario 1: Pre-market product information (cpxx) documents error abnormal

  Time: Around 8:45am

  Phenomenon: Can download the cpxx file with incorrect date on the LDDS system around 8:45am (the file name is the current day) and can download cpxx files with correct data after 9:00am on the LDDS system.

Expected Situation: IVs can manually maintain the cpxx file based on the previous day or wait for the correct cpxx file to be issued to complete the initialization without affecting the services.

- Scenario 2: Part of the pre-market static files download abnormal

  Time: Whole day

  Phenomenon: IV will not receive the static files (mktdt00, mktdt02) and can receive real-time static file normally

  Expected Situation: IV can use real-time files mktdt00 and mktdt02 for initial data on market, which will not affect providing market services.

- Scenario 3: Product short name (cpjc) file download abnormal

  Time: Whole day

  Phenomenon: IV will not receive product short name (cpjc) static file

  Expected Situation: IV can obtain related product short name data through cpxx files or other channels, and will not affect providing market services.

2. Other test content

Can receive and handle Level-2, Level-1 market data

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Test Checkpoint

- Static files receive and handle (cpxx, fjy, etc…)

- Market data initialization abnormal drill

- Level-2 and Level-1 market data can receive and handle

- System restores

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Test Rundown

Time	Matching Trading Platform (MTP)	Time	Alternative Trading Platform
9:15-9:25	Send the opening call auction quote	9:15-9:25	Send the opening call auction quote
9:30-11:30	Send continuous auction quote	9:30-11:30	Send continuous auction quote
13:00-14:57	Send continuous auction quote	13:00-15:00	Send continuous auction quote
14:57-15:00	Send the closing auction quote	15:00-15:30	After-Market STAR Market fixed-transaction
15:00-15:30	After-market transaction	15:30-17:00	Market Closing
15:30-17:00	Market Closing		
Time	**New Bond Platform**		
9:15-9:25	Send the opening call auction quote		
9:30-11:30	Send continuous auction quote		
13:00-15:00	Send continuous auction quote		
15:00-15:30	Send pledged REPO extended trading quote		
15:30-17:00	Market Closing		

Test Data

This test will use date back (23/2/2024) production data as canned data.

Test Requirement

- Do not distribute testing data to end user

- All information vendors must prepare system backup in Production and Test environment before the test.

- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to "CIIS" in case any error or abnormal situation cause on this test.

Test Result

After the test, all client needs to report their result base on market rehearsal test form. Please email this form to technical@ciis.com.hk 。

Technical Contact:

(852) 2501 0060 (Sunny)

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Appendix I – 24th February. 2024 Test Feedback form

Date of submission: 24th February 2024

Name of vendor			
Test In-charge:		Test In-charge Contact No.: Test In-charge Mobile No.:	

Test Result on your system

1. Market data initialization abnormal drill

Scenario 1: Pre-market cpxx documents error abnormal

Result 1	IV's system can initial?	□Success □Fail □Not applicable

Scenario 2: Pre-market static files download abnormal

Result 2	Can use real time market data to repair or fill the missing static file data	□Success □Fail □Not applicable
Result 3	Can use real time bond market data to repair or fill the missing static file data	□Success □Fail □Not applicable

Scenario 3: cpjc file download abnormal

Result 4	IV's system can through cpxx files or other channels to get the related cpjc market data	□Success □Fail □Not applicable

Other testing content

Result 5	Static files receive and handle (cpxx, fjy, etc…)	□Success □Fail □Not applicable
Result 6	Level-2 & Level-1 market data receive and handle	□Success □Fail □Not applicable
Result 7	System restores	□Success □Fail □Not applicable

Exception situation remarks:

Technical Contact:（）：

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