

(Testing Notification) LDDS Pre-Production Test Sessions

Schedule and Rundown

Test Participator

- SSE Infonet Ltd
- China Investment Information Services Ltd (CIIS)
- Information Vendor

Test Schedule

- Testing Date : 14/11/2020
- Testing Time: 09:00 - 16:00
- Platform: ALC&TKO
- Testing Data: Level-2 Market data together with Level-1 FAST from LDDS testing platform.

Test Rundown

| Time | Test Case | Expect Information Vendor Result |
|-----------------|---|--|
| Before 09:00 | Send the initial reference pre-market data | Check can receive the initial data |
| 09:15-09:25 | Send the opening call auction quote | Check can receive and handle the data |

| | | |
|-------------|---|---------------------------------------|
| 09:30-11:30 | Send continues auction quote | Check can receive and handle the data |
| 11:30-13:00 | Market Break | |
| 13:00-14:57 | Send continues auction quote | Check can receive and handle the data |
| 14:57-15:00 | Send the closing auction quote | Check can receive and handle the data |
| 15:00-15:30 | Send after-market fixed price quote | Check can receive and handle the data |
| 15:30-16:00 | System restore. Make sure to fallback testing environment. Fill-in and return the feedback form to CIIS | N/A |

Test Data

This test will use date back (13/11/2020) production data as canned data.

The products involved in the first batch of STAR Board ETF business tests include: 588000 (Hua Xia Fund), 588050 (ICBC Credit Suisse Fund), 588080 (Efatar Fund) and 588090 (Huatai Perry Fund).

Test Requirement

- Do not distribute testing data to end user

- All information vendors must prepare system backup in Production and Test environment before the test.
- During the test, client should write down their comments or technical issues for analysis & follow up. Client should report to “CIIS” in case any error or abnormal situation cause on this test.

Test Result

After the test, all client need to report their result base on market rehearsal test form. Please email this form to technical@ciis.com.hk.

Technical Contact:

(00852) 2868 3431 (Franky)

Appendix I – 14th November. 2020 Test Feedback form

Date of submission: 14th November. 2020

| | | | | |
|--|--|---|-------------------------------|------------------------------|
| Name of vendor | | | | |
| Test In-charge: | | Test In-charge Contact No.: | | |
| | | Test In-charge Mobile No.: | | |
| Test Result on your system | | | | |
| Result 1 | Can receive and handle the static file | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 2 | Can receive and handle STAR ETF market data | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 3 | ETF Product Quote Display (Up or Down Limit of 20%) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 4 | Can receive and handle Level-2 market data | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Result 5 | System Restore (clear test data, prepare system for coming trading date) | <input type="checkbox"/> Success applicable | <input type="checkbox"/> Fail | <input type="checkbox"/> Not |
| Exception situation remarks: | | | | |
| Technical contact: () | | | | |