

## **(Testing Notification) Hong Kong Stock Connect quota information disclosure adjustment clearance test**

### **Outline**

Our company plans to organize a clearance test of the Hong Kong Stock Connect quota information disclosure adjustment in the production environment from 9:00 to 12:10 on August 17, 2024 (Saturday).

### **Test Participator**

- SSEInfoNet
- Relevant information vendors and securities dealers.

### **Test Content**

Send Hong Kong Stock Connect quotes through the **LDDS system production environment.**

#### **1、 Clearance test of adjustment of quota information disclosure for Hong Kong Stock Connect**

Relevant users need to verify the reception and processing of the trdses04.txt file. Pay attention to whether the values of the "Daily Remaining Quota" and "Quota Status" fields are in line with expectations :

- When the intraday remaining quota is greater than or equal to 30% of the daily initial quota, the value of the "Intraday Remaining Quota" field is '0' and the value of the "Quota Status" field is '3';
- When the intraday remaining quota is less than 30% of the daily initial quota, the value of the "Intraday remaining quota " field is the actual remaining quota and the value of the "Quota Status" field is ' 2 ';

- When the quota is used up or the entire market is prohibited from buying due to other reasons (such as the entire market suspending the acceptance of buy orders, etc.), the value of the "Daily Remaining Quota" field is '0' And the value of the "Quota Status" field is '1'.

### Feedback content

The real-time trading status file (trdses04.txt) of the Hong Kong Stock Connect is received and processed normally.

### Test schedule

Test time: 9:00-12:10 on August 17, 2024

Steps	Time period	Test content
Hong Kong Stock Connect Quotes		
1	9:00-9:30	market opening
2	9:30-12:00	Ongoing trading phase
3	12:00-12:10	Closing Auction Session

### Test data

In this test, the LDDS system production environment uses the data after the end-of-day processing of all securities in the Shanghai Stock Exchange on August 16, 2024 (Friday) as the starting data for the test .

## Test requirements

- 1、 Each participating information vendor must try the best of protecting the production system environment before testing, and restoring the environment after testing. Please note that the test data cannot be released to the public.
- 2、 During the test, each participating information vendor shall record the test phenomena and results in detail to check their correctness. If any abnormal phenomenon is found, report it in time.
- 3、 After the completion of the test, the participating information vendors and brokerage units shall carefully fill in and submit feedback via the form at the next page.
- 4、 Users involved in Hong Kong Stock Connect-related businesses must participate in this test

China Investment Information Services Ltd.

August 14, 2024

## Clearance Test For Hong Kong Stock Connect Quota Information

### Disclosure Adjustment Feedback

1	Company Name:	
2	Contact:	
3	Contact Number:	
Testing time: August 17		
4	<p>The Hong Kong Stock Connect trading real-time status file (trdses04.txt) is received and processed normally.</p> <p>The relevant test points are as follows:</p> <p>1) When the intraday remaining quota is greater than or equal to 30% of the daily initial quota, the value of the "Intraday Remaining Quota" field is '0' and the value of the "Quota Status" field is '3';</p> <p>2) When the intraday remaining quota is less than 30% of the daily initial quota, the value of the "Intraday remaining quota " field is the actual remaining quota and the value of the "Quota Status" field is '2';</p> <p>3) When the quota is used up or the entire market is prohibited from buying due to other reasons (such as the entire market suspending the acceptance of buy orders, etc.), the value of the "Daily Remaining Quota" field is '0' And the value of the "Quota Status" field is '1'.</p>	
	<b>Result</b>	<input type="checkbox"/> Success <input type="checkbox"/> Fail <input type="checkbox"/> Not applicable
5	Other Information:	