

# **LDDS special test plan for the Sci-Tech Innovation Growth Tier stocks**

## **1. Project Overview**

According to the relevant work arrangements for the Science and Technology Innovation Growth Tier, our company plans to organize and carry out special tests for the Science and Technology Innovation Growth Tier in the LDDS system's all-weather test environment from August 11 (Monday) to September 5 (Friday), 2025. Level-2 display authorization users must attach great importance to this matter, assign dedicated personnel to participate in the test, and provide feedback.

## **2. Participating Units**

- 1) SSE Infonet Ltd.
- 2) Directly connected data vendors of the LDDS system and securities firms

## **3. Test content**

This test is to verify the reception and processing of market information related to the Science and Technology

Innovation Growth Tier and the display of Science and Technology Innovation Growth Tier stocks by the market terminal. Please see the test description in the table below for details.

The trading day during this test is the same as the actual trading day. The test content is as follows:

Test Date	Test scenario	Test Description
<p><b>August 11</b> to <b>September 5</b></p>	<p>Pre-market static file market reception and verification</p>	<p>The participating units received pre-market static files (cpxx and fjy series ) through the market gateway (VDE) for verification, and were expected to receive and process them normally.</p> <p>1、cpxx file changes: For STAR Market stocks, the 8th bit of the "Product Status Flag" field in the cpxx file is set to "U", indicating STAR Market growth-layer stocks (including depositary receipts). If a listed company is transferred out of the STAR Market Growth Tier, this special mark will be cancelled.</p> <p>2、fjy file changes: From the issuance date T to T+3, the first bit of the "Remarks" field in the fjy file for unprofitable STAR</p>

		<p>Market stocks (depository receipts) is "U", indicating that they are not profitable yet; the first bit of the "Remarks" field for profitable STAR Market stocks (depository receipts) is empty.</p>
	<p>Real-time market verification and terminal display requirements</p>	<p>participating units received Level-1 market information normally through the market gateway (VDE) and the terminal displayed it normally.</p> <p>Exhibition requirements:</p> <ol style="list-style-type: none"> <li>1、The market information terminal will add "U" after the security abbreviation of stocks (including depository receipts) in the science and technology innovation Growth Tier as a special identifier;</li> <li>2、For existing sci-tech innovation and growth-tier stocks (including depository receipts), a label "成 1" is added near their security abbreviations. When you click/touch the label "成 1", the prompt message "Existing sci-tech innovation and growth-tier stocks" appears;</li> <li>3、For newly registered stocks in the Science and Technology Innovation</li> </ol>

		<p>Growth Tier (including depositary receipts), a label "成" will be added near the security abbreviation. When you click/touch the label "成", the prompt message will be "Newly Registered Science and Technology Innovation Growth Tier Stock";</p> <p>4、During the issuance and subscription phase, commonly used reminder formats will be adopted to alert investors to the non-profitable status of certain STAR Market stocks.</p> <p><b>Please note:</b> In this test, stocks with simulated listing dates before February 28, 2020 are considered existing Sci-Tech Innovation Growth Tier stocks, while those listed after that date are treated as newly registered Sci-Tech Innovation Growth Tier stocks.</p>
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#### 4. Test Feedback Content

- 1) Verify the reception and processing of pre-market static files (cpxx and fjy series);
- 2) The display of market information in the terminal meets the requirements;

3) Verification of real-time market information reception and processing.

## 5. Test Schedule

		Bidding Quotes		
1	9:15-9:25	Opening auction		
2	9:30-11:30	Continuous bidding		
3	13:00-14:57	Continuous bidding		
4	14:57-15:00	Closing call auction		
5	15:00-15:30	Related business processing		

## 6. Test Data

The initial data for this test is the end-of-day production data on December 31, 2019. The daily data is based on the actual continuous test results in an all-weather test environment.

Some new stock issuance parameters:

Product Code	688400	689014	688401
Securities Abbreviation	25测科03	25CDR测1	25测科04
Issuance method	Market value subscription	Market value subscription	Market value subscription
Issue Price	10 yuan	10 yuan	10 yuan
Number of online issuances	200 million shares	200 million shares	200 million shares
Subscription Code	787400	795014	787401

Subscription abbreviation	25测科03	25CDR测1	25测科04
Matching code	789400	796014	789401
Abbreviation of matching number	25测科03	25CDR测1	25测科04
Record date (T-1)	August 25	August 25	August 26
Subscription date (T)	August 26	August 26	August 27
Matching date (T)	August 26	August 26	August 27
Winning date (T+1)	August 27	August 27	August 28
Abandonment and delivery date (T+3)	August 29	August 29	September 1
Listing date (T+5)	September 2	September 2	September 3
lead underwriter	Zheshang Securities	Zheshang Securities	Zheshang Securities
Underwriting seats	13550	13550	13550
Underwriting Account	D890707381	D890707381	D890707381
Is it profitable?	Not profitable	profit	Not profitable

## 7. Test requirements

1) During the test, all participating information providers are requested to record the test phenomena and results in detail and check their accuracy. If any abnormal phenomena are found, they should be reported

promptly.

2) All participating testing units must ensure the isolation of the all-weather testing environment and the production environment to prevent test data from affecting production.

3) After the test is completed, each participating unit shall carefully fill in and submit feedback.

China Investment Information Services Ltd.  
August 8, 2025