

LDDS System All-Weather Testing Environment

New Bidding New Comprehensive Business

Special Test (Round 1)

I. Project Overview

In accordance with the relevant work arrangements for the construction of our new competitive bidding and integrated trading system, our company plans to conduct a special test in the LDDS system's all-weather test environment from April 7th (Tuesday) to April 30th (Thursday) . **All units are requested to attach great importance to this , assign dedicated personnel to participate in this test, and provide feedback .**

II. Participating Units

1. SSE InfoNet Ltd
2. Data vendors and securities firms directly interfacing with LDDS.

III. Test Content

This test focuses on verifying the relevant changes mentioned in the "Implementation Guidelines for the Shanghai Stock Exchange LDDS System's Technical Adjustments to Coordinate with the New Auction and Comprehensive Business," as well as the reception and processing of static files, Level -2 , and Level-1 market data (see the attachment for the implementation guidelines). Users are requested to

find and verify the test data according to their business needs .

The test trading date is the same as the real trading date, T-day is 20260407. The test content is as follows:

Test date	Test Scenario	Test Description
T day	Pre-market static file market data reception and verification	<p>1. The reception of pre-disk static files (cpxx and f jy series, etc.) is normal.</p> <p>2. The market data files mktdt00.txt and the product basic information second edition first batch cpxx0201mmdd.txt/product basic information second edition second batch cpxx0202mmdd.txt of the auction matching system do not include bond distribution code information.</p> <p>In the files "Product Basic Information Second Edition First Batch cpxx0201mmdd.txt" and "Product Basic Information Second Edition Second Batch cpxx0202mmdd.txt" , when the price fluctuation limit type is not N, the upper limit price and lower limit price have no actual control significance and are set to 0.</p> <p>4. The second edition of basic product information, first batch cpxx0201mmdd.txt/second edition of basic product information, second batch cpxx0202mmdd.txt will no longer send pre-issued treasury bonds that have passed their "last trading date".</p> <p>5. In the product's non-trading basic information file f jyYYYYMMDD.txt , when the product's securities code is a real-time</p>

		<p>redemption money market fund (Class A) (5198**), the value is 0.01 yuan.</p>
	<p>Real-time market data verification</p>	<ol style="list-style-type: none"> 1. Real-time market data does not include bond distribution code information. 2. Optimize the "Market Status" section in the integrated business system's market data file mktdt01.txt , and the market status message (MsgType=M101) in the integrated business (SecurityType = 03) section of the market data : <ol style="list-style-type: none"> 1) "End of Opening Call Auction" It is meaningless when there are no products in the comprehensive business market that support the opening call auction. 2) "Market Closing Signal for Pre-Issuance of Treasury Bonds" It is meaningless when there are no treasury bonds to be issued during the issuance period. 3. In the Level-2 snapshot market data (UA3202) , the latest transaction price (tag31) and the closing price (tag10204) remain consistent at the close of the day ; 4. In the auction level-2 merged market data (UA5803) , for products that are continuously suspended from trading, two product status order data with tag10192, namely CLOSE and ENDTR, can be received normally after the market closes; 5. Verification of channel number

		<p>(tag10115) value adjustment in Level-2 auction merged market data (UA5803) ;</p> <p>6. Verification of channel number (tag10115) value adjustment in the after-hours fixed-price transaction message (UA3209) of the auction-based Level-2 auction ;</p> <p>7. Status of receiving and processing Level-2 market data snapshots (UA3202);</p> <p>8. Status of receiving and processing Level-2 auction data (UA5803);</p> <p>9. Status of receiving and processing Level-2 after-hours fixed-price trading snapshot data (UA3108);</p> <p>10. Status of receiving and processing Level-2 after-hours fixed-price transaction data (UA3209);</p> <p>11. Bid Level -2 data recovery status;</p> <p>12. Status of receiving and processing Level-1 market data snapshots during auctions ;</p> <p>13. Status of receiving and processing Level-1 after-hours fixed-price trading data;</p>
--	--	--

IV. Test Feedback Content

1. Verification status of relevant change points;
2. Verification of the reception and processing status of pre-market static files (cpxx and fjy series , etc.) ;
3. Status of receiving and processing Level-2 market data snapshots during the bidding process ;

4. Transaction processing status of Level-2 auction data ;
5. Status of receiving and processing post-market fixed-price trading quotes for Level- 2 auctions;
6. Level-2 auction price update status;
7. Status of receiving and processing Level-1 market data snapshots during bidding ;
8. Status of receiving and processing post-market fixed-price trading data for Level-1 auctions.

V. Test Schedule

step	Time period	Test content
1	9:15-9:25	Simulated call auction
2	9:30-11:30	Simulated morning continuous bidding
3	11:30-13:00	Simulated market closure
4	13:00-14:57	Simulated afternoon continuous bidding
5	14:57-15:00	Simulated closing auction
6	15:00-15:30	Simulated production trading hours: 15:00~15:30
7	15:30-19:00	Simulated market closure processing

VI . Test Data

data in this paper are based on the actual continuous test results of the LDDS system in an all-weather test environment.

The following is the product information for testing reference . Please refer to it and confirm it according to your own system and business situation :

- 1、 Standard product information

mother board	B shares	STAR Market stocks (depository receipts)	ETF	LOF	REITs
600007	900901	688001	510050	501001	508012
601699	900920	688009	511220 (T+0 turnaround)	501025 (T+0 turnaround)	508033
601919	900924	688010	513050 (T+0 turnaround)	501302 (T+0 turnaround)	508049
603029	900925	688098	518800 (T+0 turnaround)	501059	508072
603050	900926	689001	517000	506005	508075
601669	900928	689003	588000	506000	508076
605092	900932	689005	511600 (T+0 turnaround)	506002	508077

2、 Information on products that have not undergone shareholding reform

Product Code	600182
Product Abbreviation	S Giti

3、 Risk warning products

Product Code	600112	600145	688101	689002
Product Abbreviation	ST Tiancheng	*ST Xinyi	*ST Sanda	*STCDR2
Risk warning type	Other risk warnings	Delisting risk warning	Delisting risk warning	Delisting risk warning

4、 Products on the first day of delisting

Product Code	600063	600119	688033	688288	689010
Product Abbreviation	Anhui Weixin Co., Ltd. was delisted.	Retired Mayor	Tianyi delisted	Hongquan delisted	DR delisting 10

5、 Non-first-day products in the delisting period

Product Code	600149	600240	688388
Product Abbreviation	Delisting Exhibition	Huaye delisted	Delisted Jiayuan

6、 Products in the first 5 days (first day) of listing

Product Code	605122	605123	605124	688981	688982	688983
illustrate	The initial public offering (IPO) can be used for testing the status of this business.					

7、 Products in the first 5 days (days 2-5) before listing

Product Code	605122	605123	605124	688981	688982	688983
illustrate	The initial public offering (IPO) can be used for testing the status of this business.					

8、 Product testing on the first day of relaunch (parameter testing of previously launched products adjusted to the first day of relaunch).

Product Code	605102
Product Abbreviation	Chuangli Group

9、 REITs listing day products

Product Code	508003
Product Abbreviation	N pioneered 20

10、 Initial Public Offering of Stocks

Product Code	605122	688981	605123	688982	605124	688983
Stock Abbreviation	26 tests A01	26 Test Science 01	26 tests A02	26 Test Science 02	26 tests A03	26 Test Science 03
Issuance method	Market capitalization subscription	Market capitalization subscription	Market capitalization subscription	Market capitalization subscription	Market capitalization subscription	Market capitalization subscription
Issue price	10 yuan	10 yuan	10 yuan	10 yuan	10 yuan	10 yuan
Online issuance quantity	200 million shares	200 million shares	200 million shares	200 million shares	200 million shares	200 million shares
Subscription Code	707122	787981	707123	787982	707124	787983
Subscription Abbreviation	26 Shen A01	26 Shenke 01	26 Shen A02	26 Shenke 02	26 Shen A03	26 Shenke 03
Matching code	708122	789981	708123	789982	708124	789983
Allocation number abbreviation	26 with A01	26-01	26 with A02	26-02	26 equipped with A03	26 Matching 03
Record date (T-1)	April 13	April 13	April 17	April 17	April 24	April 24
Subscription Date (T)	April 14	April 14	April 20	April 20	April 27	April 27
Allocation Date (T)	April 14	April 14	April 20	April 20	April 27	April 27
Lottery winning date (T+1)	April 15	April 15	April 21	April 21	April 28	April 28
Abandonment and delivery date (T+3)	April 17	April 17	April 24	April 24	April 30	April 30

Listing date (T+5)	April 21	April 21	April 27	April 27	May 6	May 6
lead underwriter	Zheshang Securities	Zheshang Securities	Zheshang Securities	Zheshang Securities	Zheshang Securities	Zheshang Securities
Underwriting Seats	13550	13550	13550	13550	13550	13550
Underwriting Account	D890707381	D890707381	D890707381	D890707381	D890707381	D890707381

11、 Public offering of shares

Product Code	603005	688041	600104	688357
Additional issuance price	50 yuan	60 yuan	7 yuan	12 yuan
issue number	20 million shares	20 million shares	20 million shares	20 million shares
Existing shareholders' share allocation				
Rights issue price	50 yuan	60 yuan	7 yuan	12 yuan
Placement Code	742005	785041	700104	785357
Placement Abbreviation	Chipboard Distribution	Traffic control distribution	SAIC Parts	Jianlong Placement
Subscription Code	732005	787041	730104	787357
Subscription Abbreviation	Chipbond Power Generation	Traffic Control Additional Issuance	SAIC's additional share issuance	Jianlong Additional Issuance
Subscription Code	734005	740041	740104	740357
Application for payment (abbreviation)	Subscription fee	Subscription fee	Subscription fee	Subscription fee
Matching code	736005	789041	741104	789357
Allocation number abbreviation	Crystal Coding Number	Traffic control number allocation	SAIC Part Number	Jianlong matching numbers
Record Date	April 14	April 14	April 20	April 20
Subscription Date (T)	April 15	April 15	April 21	April 21
Allotment date (T+2)	April 17	April 17	April 23	April 23
Lottery winning date (T+3)	April 20	April 20	April 24	April 24
Listing date	April 23	April 23	April 29	April 29
lead	Everbright	Everbright	Everbright	Everbright

underwriter	Securities	Securities	Securities	Securities
underwriter PBU	34123	34123	34123	34123
Underwriter Account	D890019681	D890019681	D890019681	D890019681

12、 Issuance of exchangeable bonds and convertible bonds
(including rights issues)

Bond Code	132191	113181	132192	118062	132193	113182
Bond Abbreviation	26 Guomao EB	Changqi 2	26 pioneered EB	Heart rate 2	26 Airports EB	Postal Savings Bank Transfer 2
Stock Code	600007	601633	600008	688016	600009	601658
Issue price	100 yuan	100 yuan	100 yuan	100 yuan	100 yuan	100 yuan
Total issuance	2 billion yuan	2 billion yuan	2 billion yuan	2 billion yuan	2 billion yuan	2 billion yuan
Existing shareholders' share allocation						
Subscription Code	759191	783633	759192	718016	759193	783658
Subscription Abbreviation	China World Trade Center bond issuance	Great Wall Motors issues bonds	First to issue bonds	Cardiovascular Bond Issuance	Airport bond issuance	Postal Savings Bank Bond Issuance
Matching code	758191	794633	758192	7190016	758193	794658
Allocation number abbreviation	Guomao allocation number	Changqi Parts Number	First-ever number allocation	Heart rate matching	Airport number	Postal Savings Bank Distribution Number
Placement Code	not applicable	764633	not applicable	7260016	not applicable	764658
Bond Allocation (abbreviation)	not applicable	Changqi	not applicable	Cardiovascular Bond	not applicable	Postal Savings Bank Bonds
Rights Registration Date	April 15	April 15	April 21	April 21	April 24	April 24
Subscription Date	April 16	April 16	April 22	April 22	April 27	April 27
Number allocation date	April 16	April 16	April 22	April 22	April 27	April 27
Lottery winning date (T+1)	April 17	April 17	April 23	April 23	April 28	April 28
Abandonment and delivery date (T+3)	April 21	April 21	April 27	April 27	April 30	April 30
lead underwriter	Zheshang Securities	Zheshang Securities	Zheshang Securities	Zheshang Securities	Zheshang Securities	Zheshang Securities
Underwriting Seats	13550	13550	13550	13550	13550	13550
Underwriting Account	D890707381	D890707381	D890707381	D890707381	D890707381	D890707381

Listing date	April 27	April 27	May 6	May 6	May 11	May 11
Annual interest rate	3.5%	3.5%	3.5%	3.5%	3.5%	3.5%
Interest payment frequency	Annual payment	Annual payment	Annual payment	Annual payment	Annual payment	Annual payment
Expiry date	20360426	20360426	20360505	20360505	20360510	20360510

13、ETF Online Cash Issuance

Product		
Abbreviation		
Subscription Code	589013	526013
Subscription Fund Code	589014	526014
Subscription Abbreviation		
Transaction Code	589010	526010
Subscription start date (M)	April 15	April 21
Subscription Closing Date (L)	April 17	April 23
Proportional allocation date (Z)	April 17	April 23
Subscription confirmation date (L+2)	April 21	April 27
Doomsday	100%	100%

Allocation Ratio (k)		
Issue price	1 yuan	1 yuan
Lead underwriter account	34123	34123
lead underwriter PBU	D890019681	D890019681
Listing date	April 24	April 30
Redemption start date	April 24	April 30
Minimum redemption unit		
Product users		
Upload PBU		
Redeem PBU		
ETF categories	Single-market stock (STAR Market) ETF	Cross-border ETFs
Fund Manager		

14、 Rights issue products

Product Code	601636	688015	601700	688019
Stock Abbreviation	Qibin Group	Traffic Control Technology	Fengfan Co., Ltd.	Anji Technology
Rights issue code	760636	785015	760700	785019
Rights issue price	10 yuan	9 yuan	100 yuan	20 yuan
Rights issue ratio	10 to 1	10 to 1	10 to 1	10 to 1

underwriter PBU	13550	13550	13550	13550
Underwriter Account	D890707381	D890707381	D890707381	D890707381
Record Date	April 14	April 14	April 21	April 21
Rights issue payment commencement date (T)	April 15	April 15	April 22	April 22
Rights issue payment deadline (T+2)	April 17	April 17	April 24	April 24
Ex-rights date (T+4)	April 21	April 21	April 28	April 28
Listing and trading date (T+5)	April 22	April 22	April 29	April 29

15、 Bond entry and exit information

Securities Subcategories	CBF	CCF	CPF	GBF	EBS
Stock Code	120601	110053	122175	010609	511020
	120602	110057	122211	018003	511030
	120605	110059	122667	127925	511460
	120608	110062	122737	130939	511570
Non-trading start date	April 13	April 13	April 13	April 13	April 13

16、 Conversion and Share Swap Information

Types of securities	Main Board Convertible Bonds	Convertible bonds on the Science and Technology Innovation Board	Targeted convertible bonds	Exchangeable bonds
----------------------------	------------------------------------	---	----------------------------------	-----------------------

Stock Code	110050	118016	110800	132038
Business type	Conversion	Conversion	Conversion	Share swap
Stock Code	600728	688023	603283	601808
price	7.89 yuan	35 yuan	16 yuan	50 yuan
Non-trading start date	April 13	April 13	April 13	April 13

17、 Bond repurchase information

Securities Subcategories	CBF	CCF	CPF	TCB
Stock Code	120303	113210	143063	110802
Repurchase batch number	1203032601	1132102601	1430632601	1108022601
price	140 yuan	110 yuan	100 yuan	100 yuan
Non-trading start date	April 15	April 15	April 15	April 15
Non-trading end date	June 30	June 30	June 30	June 30

18、 LOF and REITs issuance product information

Product Code	508085	501322
Product Abbreviation		
PBU Manager		
Manager account		
Listing date (T)	April 16	April 16
Subscription start date	April 16	April 16

(T)		
Subscription termination date	April 22	April 22
Subscription Establishment Date	April 23	April 23
Listing and circulation processing	April 28	April 28
Start of trading day	April 29	April 29
Fund Manager		

19、 REITs Public Offering Product Information

Product Code	508033
Stock Abbreviation	REITs033
Expansion methods	(Package Two) Placement to Existing Holders + Public Offering
Record Date (R)	April 15
Subscription start date (T)	April 16
Subscription termination date (D)	April 23
Trading suspension	April 16

start date	
Trading suspension end date	April 23
Listing date of expanded shares	April 29
Fund Manager	China Asset Management

VII. Test Requirements

1. During the testing process, all participating information providers should record the test phenomena and results in detail and check their accuracy. If any abnormalities are found, please report them promptly.

2. All participating units must ensure that the testing environment is isolated from the production environment around the clock to prevent test data from affecting production .

3. After the test is completed, each participating unit should carefully fill out and submit feedback.